

FORM PTO-1390  
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

4923/PCT

U.S. APPLICATION NO. If known, see 37 CFR 1.5  
not known - to be assigned  
**10/568361**INTERNATIONAL APPLICATION NO.  
PCT/DE2004/001807INTERNATIONAL FILING DATE  
11 August 2004 (11.08.2004)PRIORITY DATE CLAIMED  
13 August 2003 (13.08.2003)

## TITLE OF INVENTION

Device for Stabilizing the Engine Inlet Flow in Static Tests of Engines

## APPLICANT(S) FOR DO/EO/US

Hans-Joachim GERHARDT, Horst MEESEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto, along with a Translator's Declaration. The Translation is accurate.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, English version of International Search Report, 1 reference, 2 Engl. abstracts
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
  - a) a return receipt postcard;
  - b) Form PTO-2038 to cover the filing fee;
  - c) 2 Figs. on 1 sheet of formal drawing.


Note: The priority of German Patent Application 103 37 372.1, filed in the Fed. Rep. of Germany on August 13, 2003 is claimed for the present Application under 35 U.S.C. §119.

Note: The entire disclosure of PCT International Application PCT/DE2004/001807 is incorporated herein by reference.

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U.S. APPLICATION NO. <b>021553</b> unknown to be assigned		INTERNATIONAL APPLICATION NO. PCT/DE2004/001807		ATTORNEY'S DOCKET NUMBER 4923/PCT																										
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or IPO ..... \$1040.00 National Phase filing fee (\$300), Search fee (\$400), Examination fee (\$200) ..... = \$900.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT = 900.00</b>				<b>CALCULATIONS PTO USE ONLY</b>																										
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 900.00																										
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;">\$</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>18 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td>\$ 0</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$84.00</td> <td>\$ 0</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$ 900</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	18 - 20 =	0	x \$18.00	\$ 0	Independent claims	1 - 3 =	0	x \$84.00	\$ 0	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 900	\$	
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0																										
<b>SUBTOTAL =</b>				\$ 900																										
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$																										
<b>TOTAL NATIONAL FEE =</b>				\$ 900.00																										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$																										
<b>TOTAL FEES ENCLOSED =</b>				\$ 900.00																										
Amount to be refunded:				\$																										
charged:				\$																										
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge <u>any deficiency in or</u> any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0507</u> . <del>ANY ADDITIONAL FEES OR CREDITS MUST BE APPROVED BY THE COMMISSIONER</del> d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																														
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																														
The Power of Attorney and the Correspondence Address for this Application are to <u>CUSTOMER NO.: 021553.</u>																														
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SIGNATURE  NAME Walter F. Fasse - 2/13/06 REGISTRATION NUMBER 36132				36132																										

Docket No.: 4923  
Inventor: Hans-J. Gerhardt et al.  
Filed: February 13, 2006

TRANSLATOR'S DECLARATION

I, Walter F. Fasse, having an office at: 60G Main Road North,  
(translator's name) (translator's office address)  
Hampden, Maine, 04444, U.S.A. and a mailing address: P. O. Box  
726, Hampden, Maine, 04444, USA  
(translator's mailing address)

solemnly declare:

that I am fully conversant and knowledgeable in the German language to fluently read, write, and speak it, I am also fully conversant and knowledgeable in the English language;

that I have, to the best of my ability, prepared the attached accurate, complete and literal translation of:

PCT International Application PCT/DE2004/001807, filed on August 11, 2004

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: February 13, 2006

  
(translator's signature)

Name: Walter F. Fasse  
(translator's name)

Reg. No.: 36132

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